

# Course Announcement

## New 2009 Courses

Some of the titles you can expect to see in 2009 are:

- Preventing Financial Calamities by Preparing for Natural Disasters (L&H, FE, PD)
- Long Term Care Planning for Seniors: Reverse Mortgages, Life Settlements and Viaticals (L&H, FE, PD)
- Protection of Nonpublic Information (L&H, FE, PD)
- Estate Planning for the Generations: Baby Boomers and Xs (L&H, FE, PD)
- Suitability of Annuity and Life Transactions (L&H, FE, PD)
- Claim Investigation and Evaluation (P&C, ADJ)
- Role and Responsibility of the Insurance Adjuster (ADJ)
- Successful Negotiation of Claim Settlement (P&C, ADJ)
- Application of Basic Business Law to Insurance Concerns (P&C, ADJ)
- Contractual Liability and the Additional Insured (P&C, ADJ)
- 403(b) Plans (L&H, FE, PD)
- AML Annual Update 2009 (FE)
- Supervision Annual Update 2009 (FE)
- Investment Advisers: Disclosure Responsibilities (FE)
- Refraining from Unethical Behavior (FE)
- Recognizing and Responding to Red Flags (FE)
- Client Communication: Meeting the Needs of all Generations (FE, L&H, PD)
- Helping Clients Navigate an Unstable Economy (FE, L&H, PD)
- Retirement Options and the Role of the Financial Planner (FE, L&H, PD)
- OBA: Reportable Investment-Related Activities (FE)
- Auction Rate Securities: Process, Disclosure and Consequences of Failed Auctions (FE)
- Limitations, Restrictions and Prohibitions of Non-Cash Compensation (FE)
- Ethical Practices for Office Employees (FE)
- Institutional Sales: Prime Brokerage (FE)
- Institutional Sales: Rule 10b-21 (FE)
- An Investments Advisers' Guide to Asset Allocation in Managed Accounts and Wrap Fee Programs (FE)

For a complete list of our new for 2009 course titles and brief descriptions please contact your account manager or email [content.development@reged.com](mailto:content.development@reged.com).

### Firm Element

- Retail Sales (FE)
- Institutional Sales (FE)
- Investment Advisors (FE)

### Insurance

- Life and Health (L&H)
- Property and Casualty (P&C)
- Adjuster (P&C/ADJ)

### Professional Designation

- CFP (PD)
- PACE (PD)
- CPE (PD)